

Governing Sociotechnical Systems: A Normative MAS Approach

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Introduction

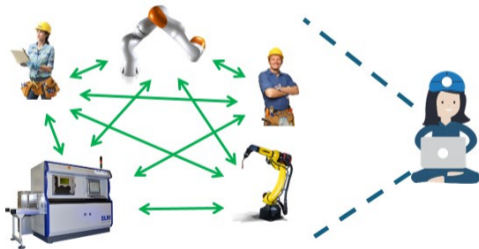
- ▶ Human society is invariably becoming more **dependent on** information technologies

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Example: Flexible Industrial Manufacturing

- ▶ Lot-size one manufacturing
- ▶ Repurposed on-the-fly



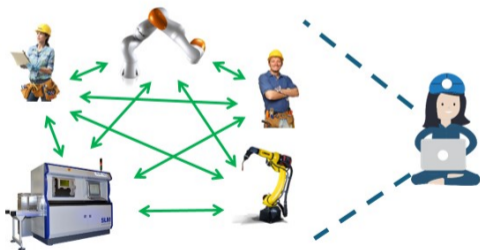
Factory workers and artificial agents working towards shared goals

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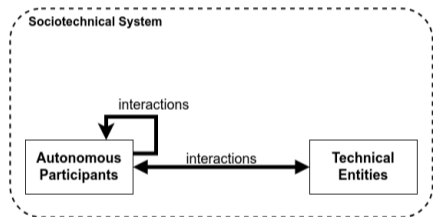
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Factory workers and artificial agents working towards shared goals

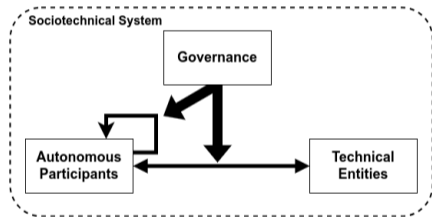
- ▶ **Resilience:** the system avoids and recovers from unexpected events
- ▶ **Flexibility:** the system adapts to different situations
- ▶ **Sustainability:** the system adapts to sustainability regulations
- ▶ **Trustworthiness:** human beings trust and understand the system

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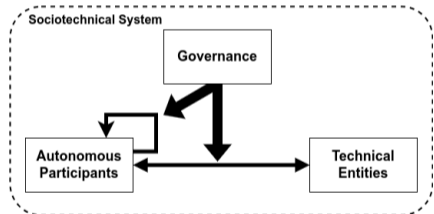
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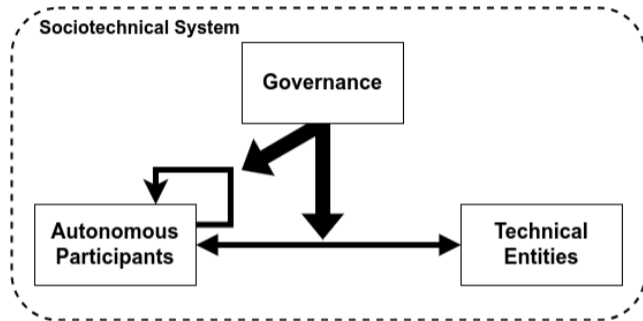
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- ▶ The success of STS relies on effective **governance**, which pertains to how interactions are controlled (Balke & Villatoro, 2012)

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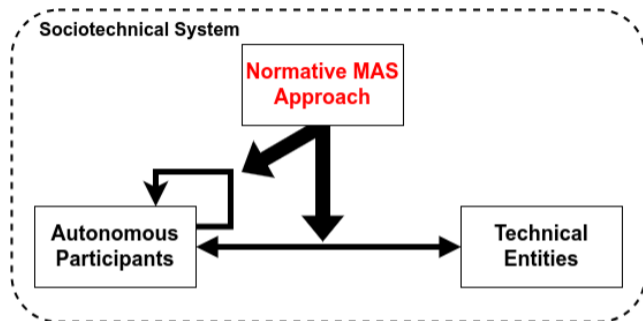
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- ▶ The success of STS relies on effective **governance**, which pertains to how interactions are controlled (Balke & Villatoro, 2012)
- ▶ Governance should maintain a balance between
 - **autonomy** and **flexibility** observed in social systems
 - **predictability** expected in technological systems

Question



How can we govern these sociotechnical systems interfering the least with the autonomy of their participants?

Hypothesis



- ▶ **Multiagent Systems (MAS)** enhance decentralization and flexibility by enabling agents to autonomously manage dynamic events
- ▶ **Normative mechanisms** guide autonomous agents' behavior while enabling trustworthiness (e.g., transparency)

Multiagent Systems : Multiagent Oriented (MAO) Approach

(Ciortea et al., 2018; Boissier et al., 2020)

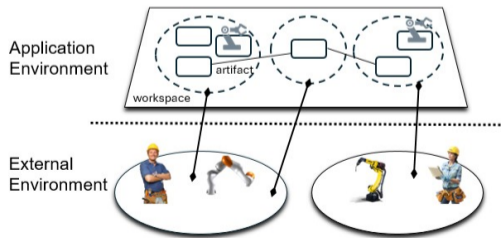
External
Environment



Multiagent Systems : Multiagent Oriented (MAO) Approach

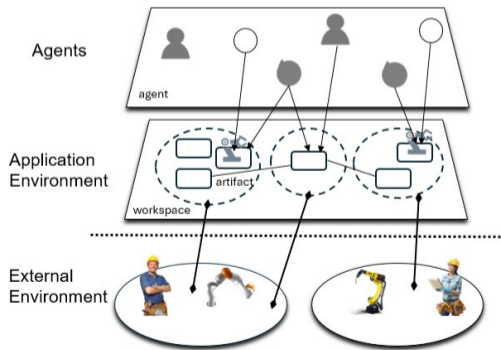
(Ciortea et al., 2018; Boissier et al., 2020)

- ▶ **Environment** : Shared medium providing the conditions for agents to exist and act



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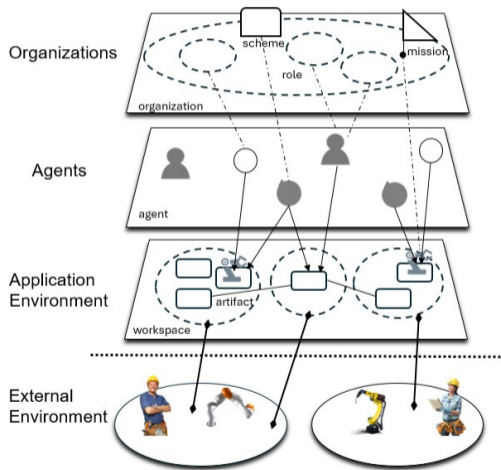
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- ▶ **Environment** : Shared medium providing the conditions for agents to exist and act
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- ▶ **Environment** : Shared medium providing the conditions for agents to exist and act
- ▶ **Agent** : Autonomous decision-making entities able to react to events while pursuing goals and performing actions to achieve them
- ▶ **Organization** : Abstractions to declare and make accessible to agents their collective structure and functioning in a shared environment

Normative Multiagent Systems

Normative Multiagent System is a multiagent agent system wherein agents behaviors are regulated by norms and sanctions

Norms

- ▶ Represent the **standards of proper or accepted behavior** that each agent in a system **expects** from others
- ▶ **Rules of conduct** prescribing how members of a group **ought to behave** in a given situation according to the **majority of its members** (Ullmann-margaret, 1977)



Normative Multiagent Systems

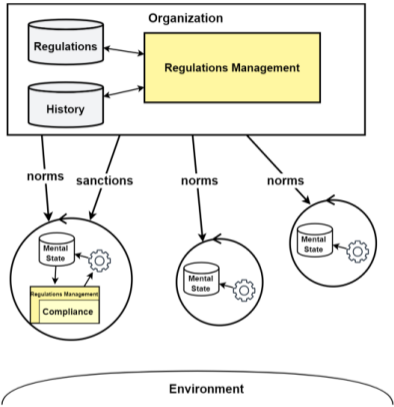
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Sanctions

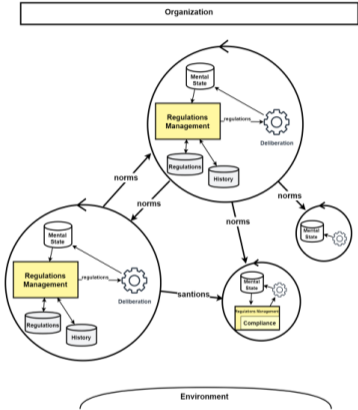
- ▶ Represent **reaction to a norm** compliance or violation
- ▶ Not all reactions can count as sanctions
(Gibbs, 1966)



Regulation Management Mechanisms



Organization-Centric Perspective



Agent-Centric Perspective

(Yan, 2024)

Requirements for Agent-Centric Perspective

- ① Language to express agents' expected behaviors and enforced behaviors
- ② Normative agent architecture to enforce the agents' expected behaviors?

Normative Programming Language NPL(s)

Norm

```
norm <id> : <when>  
  -> obligation(<who>, <while>, <what>, <deadline>)  
    [if fulfilled: <sanction-rule>+]  
    [if unfulfilled: <sanction-rule>+]  
    [if inactive: <sanction-rule>+] .
```

Normative Programming Language NPL(s)

Norm

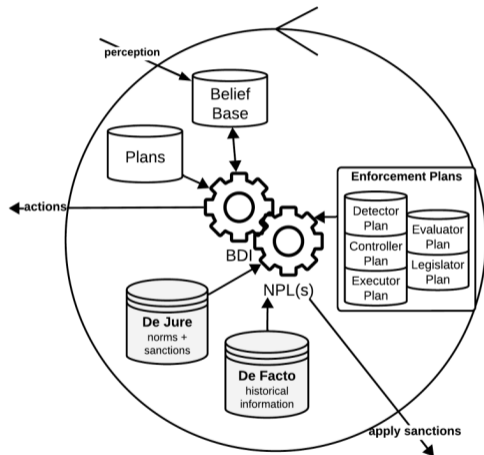
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```

Sanction Rule

```
sanction-rule <id>(<args>): <when>  
  -> sanction(<who>, <what>) .
```

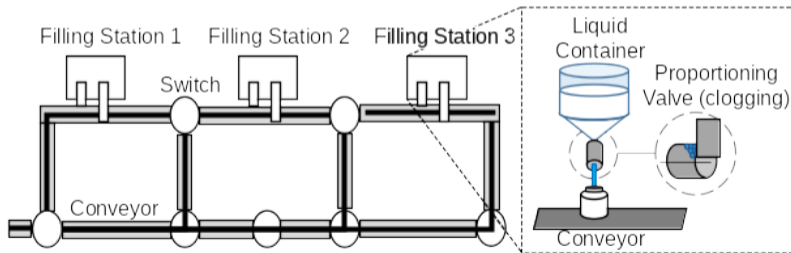
Normative Agent Architecture

- ▶ BDI JaCaMo agent architecture
- ▶ NPL(s) Engine
- ▶ Repositories
 - De Jure
 - De Facto
- ▶ Enforcement Plans
 - Detector
 - Evaluator
 - Executor
 - Controller
 - Legislator



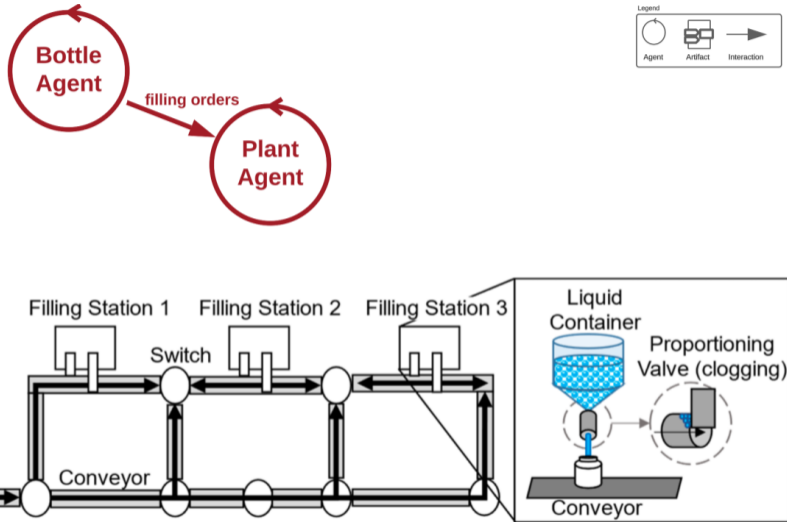
(Yan et al., 2024)

Increase Robustness of Production Automation



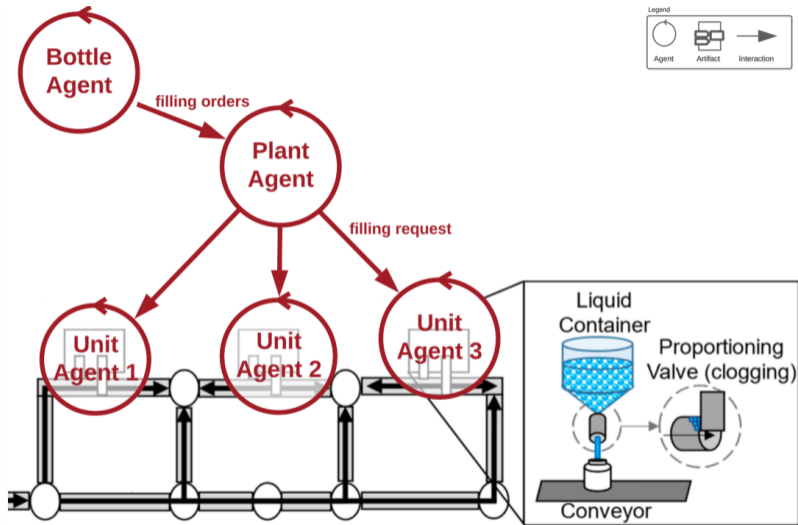
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Increase Robustness of Production Automation



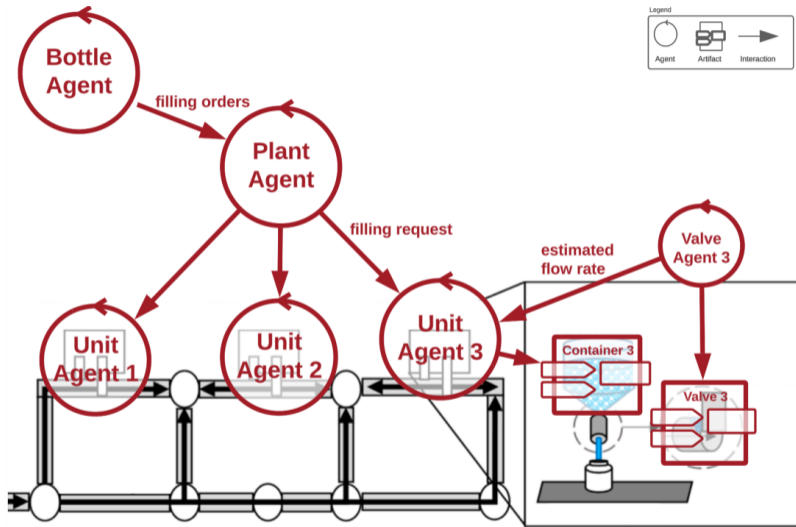
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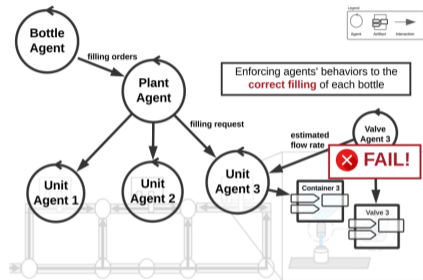


(Yan et al., 2024)

Increase Robustness of Production Automation

Norm Example

```
norm n2 :  
  level(V,X,L) & .my_name(U)  
  -> obligation(U, n2,  
    update_factors(V,X,L),  
    deviation_factor(X,"negative",_)  
    if unfulfilled: s1(V,X), s2(V,X) .
```

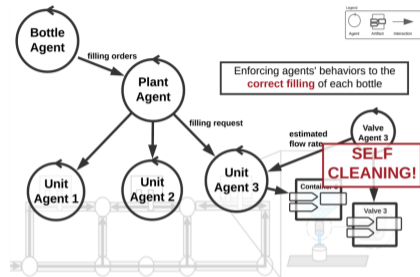


(Yan et al., 2024)

Increase Robustness of Production Automation

Sanction-Rule Example

```
sanction-rule s2(V,X)
: learning_factor(V,X,_,_,_,C) &
  threshold(_,T) & C>=T
-> sanction(V, self_cleaning(X)) .
```



Id	Sanctioner	Target	Sanction	Condition
S2	unit agent	valve agent	Activate self-cleaning procedure	The violation occurs three consecutive times

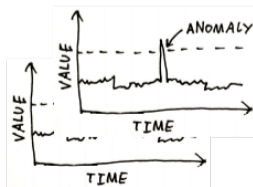
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Zero-Maintenance Production Line

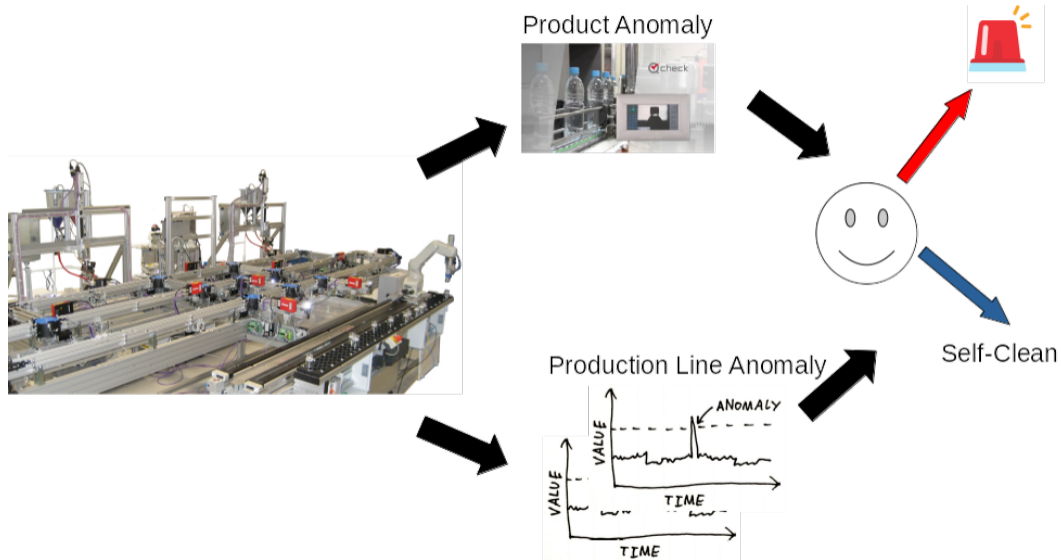
Product Anomaly



Production Line Anomaly



Zero-Maintenance Production Line



Future Work

- ▶ Explore how normative systems can support **transparency** and **explainability**
- ▶ Investigate **self-adaptation** on norms and sanctions
- ▶ Explore different domain applications like the regulation of **automated software development**

References

- ▶ Balke, T. & Villatoro, D. (2012). Operationalization of the sanctioning process in utilitarian artificial societies. In *Coordination, Organizations, Institutions, and Norms in Agent System VII*, Cranefield S., Riemsdijk M., Viquez-Salceda J. & Noriega P. (eds), Lecture Notes in Computer Science 7254, 167–185. Springer.
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- ▶ Land, K., Nardin, L. G., & Vogel-Heuser, B. (2023). Increasing robustness of agents' decision-making in production automation using sanctioning. In Proceedings of the 2023 IEEE 21st International Conference on Industrial Informatics (INDIN) (pp. 1–6). Piscataway, NJ: IEEE.
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Thank you!